FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

O	MB	AP	PR	O\	/AI

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Lombardo Andy			2. Issuer Name and Ticker or Trading Symbol PERMA FIX ENVIRONMENTAL SERVICES INC [ PESI ]		ionship of Reporting Person(s) all applicable) Director	) to Issuer 10% Owner
(Last) 8302 DUNWOOD	t) (First) (Middle) 2 DUNWOODY PLACE,		3. Date of Earliest Transaction (Month/Day/Year) 03/28/2023	X	Officer (give title below) EVP of Nuclear & Tec	Other (specify below) hnical Svc
SUITE 250  (Street)  ATLANTA,	GA	30350	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individ	dual or Joint/Group Filing (Che Form filed by One Reporting Form filed by More than One	g Person
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contra affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.	ct, instructi	ion or written plan that is intended	t to satisfy the

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Ad Disposed Of (D			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/28/2023		M		12,000(1)	A	\$3.6	18,900	D	
Common Stock	03/28/2023		F		3,602(1)	D	\$11.995	15,298	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)		Deriva Securi Acqui Dispo		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option	\$3.6	03/28/2023		M			12,000 <sup>(1)</sup>	(2)	10/19/2023	Common Stock	12,000	\$0	0(1)	D	
Stock Option	\$3.15							(3)	01/17/2025	Common Stock	8,000		8,000	D	
Stock Option	\$7.005							(4)	10/14/2027	Common Stock	25,000		25,000	D	
Stock Option	\$3.95							(5)	01/19/2029	Common Stock	30,000		30,000	D	

### Explanation of Responses:

1. On March 28, 2023, the Reporting Person exercised the incentive stock option granted 10/19/2017 under the Company's 2017 Stock Option Plan (the "2017 Plan") for the purchase of 12,000 shares of the Company's Common Stock at an exercise price of \$3,60 per share (the "Option shares"). As permitted by the 2017 Plan, the Reporting Person elected to pay the exercise price of the Option Shares by having the Company withhold from the Option Shares a number of shares having a fair market value equal to the aggregate exercise price of \$43,200. Since the fair market value of the Company's Common Stock on March 28, 2023 (as determined in accordance with the 2017 Plan) was \$11.995 per share, the Company withheld 3,602 shares of Common Stock (\$43,200 divided by \$11.995) to pay the aggregate exercise price of the option.

- $2.\ Incentive\ stock\ option\ granted\ 10/19/2017\ under\ the\ Company's\ 2017\ Stock\ Option\ Plan,\ which\ is\ fully\ vested.$
- 3. Incentive Stock Option granted 01/17/2019 under the Company's 2017 Stock Option Plan. Option to purchase 6,000 shares is vested. Option to purchase 2,000 shares will vest on anniversary date of 1/17/2024.
- 4. Incentive Stock Option granted 10/14/2021 under the Company's 2017 Stock Option Plan. The Option is for a 6 year period and vests over a 5 year period, at 1/5 increment per year.
- 5. Incentive Stock Option granted 01/19/2023 under the Company's 2017 Stock Option Plan. The Option is for a 6 year period and vests over a 5 year period, at 1/5 increment per year.

<u>/s/ Andy Lombardo</u> <u>03/30/2023</u>

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.