SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] ZWECKER MARK A						2. Issuer Name and Ticker or Trading Symbol PERMA FIX ENVIRONMENTAL SERVICES INC [PESI]									all applicab	le)	10% Owner			
(Last) (First) (Middle) 8302 DUNWOODY PLACE,						3. Date of Earliest Transaction (Month/Day/Year) 01/03/2023									Officer (g below)	ive title		Other (s below)	specify	
SUITE 250						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) ATLANTA, GA 30350													Form filed by More than One Reporting Person							
(City) (State) (Zip)																				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date					nsaction h/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				nd 5) Securities Beneficial Following		Form	mership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership	
				Code					v	Amount	Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Common Stock 01/02					3/2023				Α		4,60	3	Α	\$2.6475	216,193			D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	nstr. 2. Conversion Date Execution D or Exercise Price of Derivative Security Security		Code (Instr.			Derivative		6. Date Exercisal Expiration Date (Month/Day/Year			Securities Underly		lerlying	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				c	ode	v	(A)	(D)	Date Exercisab		xpiration ate	Title		Amount or Number of Shares		(Instr. 4)				
Stock Option	\$2.785								(1)	0	9/12/2023		ommon Stock	2,400		2,400)	D		
Stock Option	\$3.7								(2)	0	9/18/2024		ommon Stock	2,400		2,400		D		
Stock Option	\$4.19								(3)	0	9/17/2025		mmon Stock	2,400		2,400		D		
Stock Option	\$4.6								(4)	0	7/28/2026		ommon Stock	2,400		2,400)	D		
Stock Option	\$3.55								(5)	0	7/27/2027		ommon Stock	2,400		2,400)	D		
Stock Option	\$4.3								(6)	0	7/26/2028		ommon Stock	2,400		2,400)	D		
Stock Option	\$3.31								(7)	0	7/25/2029		ommon Stock	2,400		2,400)	D		
Stock Option	\$6.7								(8)	0	7/22/2030		ommon Stock	2,400		2,400)	D		
Stock Option	\$5.93								(9)	0	7/20/2031		ommon Stock	10,000		10,00	0	D		
Stock Option	\$7.05								(10)	0	7/21/2032		ommon Stock	10,000		10,00	0	D		

Explanation of Responses:

1. Non-Qualified Stock Option granted 09/12/2013 under the Company's 2003 Outside Directors Stock Plan. The Option vests fully six months from date of grant.

2. Non-Qualified Stock Option granted 09/18/2014 under the Company's 2003 Outside Directors Stock Plan. The Option vests fully six months from date of grant.

3. Non-Qualified Stock Option granted 09/17/2015 under the Company's 2003 Outside Directors Stock Plan. The Option vests fully six months from date of grant.

4. Non-Qualified Stock Option granted 07/28/2016 under the Company's 2003 Outside Directors Stock Plan. The Option vests fully six months from date of grant.

5. Non-Qualified Stock Option granted 07/27/2017 under the Company's 2003 Outside Directors Stock Plan. The Option vests fully six months from date of grant.

6. Non-Qualified Stock Option granted 07/26/2018 under the Company's 2003 Outside Directors Stock Plan. The Option vests fully six months from date of grant.

Non-Qualified Stock Option granted 07/25/2019 under the Company's 2003 Outside Directors Stock Plan. The Option vests fully six months from date of grant.
 Non-Qualified Stock Option granted 07/22/2020 under the Company's 2003 Outside Directors Stock Plan. The Option vests fully six months from date of grant.

Non-Qualified Stock Option granted 07/20/2021 under the Company's 2003 Outside Directors Stock Plan. The Option vests vary six moral hour act of grant.
 Non-Qualified Stock Option granted 07/20/2021 under the Company's 2003 Outside Directors Stock Plan. The Option vests over a four year period, at 1/4 increment per year.

Non-Qualified Stock Option granted 07/20/2021 under the Company's 2003 Outside Directors Stock Plan. The Option vests over a four year period, at 1/4 increment per year.
 Non-Qualified Stock Option granted 07/21/2022 under the Company's 2003 Outside Directors Stock Plan. The Option vests over a four year period, at 1/4 increment per year.

<u>/s/ Mark Zwecker</u> ** Signature of Reporting Person 01/04/2023 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v). ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.